

FORM PTO-1390
(REV. 01-2003)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

GJE-7020

U.S. APPLICATION NO. (If known, see 37 CFR 1.5

10/509781

INTERNATIONAL APPLICATION NO.
PCT/GB03/01488INTERNATIONAL FILING DATE
04 April 2003PRIORITY DATE CLAIMED
05 April 2002

TITLE OF INVENTION

Holographic Sensor Based on a Volume Hologram in a Porous Medium

APPLICANT(S) FOR DO/EO/US Christopher Robin LOWE; Colin Alexander Bennett DAVIDSON; Jeffrev BLYTH;
Satyamoorthy KABILAN; Alexander James MARSHALL; Blanca MADRIGAL GONZALEZ; and Anthony Peter JAMES

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) (unsigned).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information:

Certificate of Mailing by Express Mail; and
New page (Abstract of the Disclosure).

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|---------------------------------------|--|---|--|--------------------------------------|--|
| U.S. APPLICATION NO. 10/509781 | | INTERNATIONAL APPLICATION NO. PCT/GB03/01488 | | ATTORNEY'S DOCKET NUMBER GJE-7020 | |
|---------------------------------------|--|---|--|--------------------------------------|--|

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|---|--|--|--|----------------------------------|--|
| <p>21. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. 1080.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$920.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$770.00</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$730.00</p> <p>International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00</p> <p style="text-align: center;">ENTER APPROPRIATE BASIC FEE AMOUNT =</p> | | | | CALCULATIONS PTO USE ONLY | |
| | | | | \$920.00 | |

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30
 months from the earliest claimed priority date (37 CFR 1.492(e)).

| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ | |
|---|-----------------|--------------|-------------------|----|-----------------|
| Total claims | <u>9</u> - 20 = | <u>0</u> | x \$18.00 | | |
| Independent claims | <u>1</u> - 3 = | <u>0</u> | x \$84.00 | | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + \$280.00 | | |
| TOTAL OF ABOVE CALCULATIONS = | | | | | \$920.00 |

☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above
 are reduced by 1/2. +

SUBTOTAL =

\$920.00

Processing fee of **\$130.00** for furnishing the English translation later than ☐ 20 ☐ 30
 months from the earliest claimed priority date (37 CFR 1.492(f)).

TOTAL NATIONAL FEE =

\$920.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
 accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **\$40.00** per property +

TOTAL FEES ENCLOSED =

\$920.00

| | | |
|--|---------------------------|----|
| | Amount to be refunded: | \$ |
| | charged: | \$ |

a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 19-0065 in the amount of \$ 920.00 to cover the above fees.
 A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
 overpayment to Deposit Account No. 19-0065. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card
 information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

CORRESPONDENCE ADDRESS:

CUSTOMER NUMBER
23,557

September 29, 2004
DATE

David Saliwanchik
SIGNATURE

David R. Saliwanchik
NAME

31,794
REGISTRATION NUMBER

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Christopher Robin LOWE; Colin Alexander Bennett DAVIDSON;
Jeffrey BLYTH; Satyamoorthy KABILAN; Alexander James MARSHALL;
Blanca MADRIGAL GONZALEZ; and Anthony Peter JAMES

Docket No. : GJE-7020

For : Holographic Sensor Based on a Volume Hologram in a Porous Medium

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING BY EXPRESS MAIL (37 CFR §1.10)

Express Mail, **ED142482709US** e of Deposit: September 29, 2004

I hereby certify that the attached Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) with copies as required for authorization for use of Deposit Account No. 19-0065; Preliminary Amendment; New Page 6 (Abstract of the Disclosure); and unsigned Declaration (37 CFR §1.63) and Power of Attorney are being deposited with the United States Postal Service Express Mail Post Office to Addressee service under 37 CFR §1.10 on the date indicated above and are addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Natalia Talero
Name of person mailing paper


Signature